### Language Modeling VL Sprachliche Informationsverarbeitung

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### Introduction

- One of the oldest NLP tasks
  - Long before predictive typing on smart phones became a thing
- Language model (LM) predicts the next word, given previous words (history)
  - Bidirectional LM: Previous and following words (context)
- ► Formally: *p*(word|history)

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### Reading

Christopher D. Manning/Hinrich Schütze (1999). Foundations of Statistical Natural Language Processing. Cambridge, Massachusetts and London, England: MIT Press, Ch. 6.1–6.2.

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  - We couldn't predict anything on completely new histories
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More generalization  $\leftarrow$  Equivalence classes  $\rightarrow$  More discrimination

Figure: Compromise between generalization and discrimination

Different strategies

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  - Content words like nouns, verbs, adjectives and adverbs
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- Both require linguistic pre-analysis of the text
  - Time-consuming and error-prone (on a large scale)
- Limit history: Only look at the last n words

Assumption: Only the local context influences the next word

WMarkov property

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Markov Assumption

WMarkov property

- ▶ *n*-gram model: Only the last n-1 words are looked at to predict the *n*th word
  - Bigram model:  $p(w_2|\langle w_1 \rangle)$
  - Trigram model:  $p(w_3|\langle w_1, w_2 \rangle)$
  - 4-gram model:  $p(w_4|\langle w_1, w_2, w_3 \rangle)$

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### Example

Bigram model: "green \_\_\_\_"

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Trigram model: "large green \_\_\_\_"

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### Example

4-gram model: "the large green \_\_\_\_"

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### Example

5-gram model: "swallowed the large green \_\_\_\_"

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  - 4-gram model:  $p(w_4|\langle w_1, w_2, w_3 \rangle)$

### Example

6-gram model: "Sue swallowed the large green \_\_\_\_"



#### al 🕆 🕞

#### Cancel

18:44

### **New Message**

То

Cc/Bcc, From: nils.reiter@uni-koeln.de

Subject:

Die sind aber nicht mehr so viel Spaß gemacht haben und dann haben die Kinder ja auch nicht so viele Dinge zu machen

I have to be at the house by about an early afternoon but I'm going back in a bit and then I'll head back home to get a drink 🙀

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- ▶ The higher *n*, the better?
- Storage and training time increases
  - Number of parameters: Number of numbers (frequencies/probabilities) we need to store separately



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  - Number of parameters: Number of numbers (frequencies/probabilities) we need to store separately
- Assuming a vocabulary of 20000 words (= types)
  - **b** Bigram model:  $20\,000^2 = 400\,000\,000$  parameters
  - Trigram model:  $20\,000^3 = 8\,000\,000\,000\,000 = 8 \times 10^{12}$  parameters
  - ▶ 4-gram model:  $20000^4 = 1.6 \times 10^{17}$  parameters

Rechtschreibduden: 140 000



- The higher n, the better?
- Storage and training time increases
  - Number of parameters: Number of numbers (frequencies/probabilities) we need to store separately
- Assuming a vocabulary of 20 000 words (= types)

Rechtschreibduden: 140000

- ▶ Bigram model:  $20\,000^2 = 400\,000\,000$  parameters (= ca. 50 MB)
- Trigram model:  $20\,000^3 = 8\,000\,000\,000\,000 = 8 \times 10^{12}$  parameters (= ca. 8 GB)
- ▶ 4-gram model:  $20\,000^4 = 1.6 \times 10^{17}$  parameters (= ca. 20 PB)

## Again, a Compromise

- Longer n-grams would give better predictions
- Shorter *n*-grams would be easier/faster to train and use

# Again, a Compromise

- Longer n-grams would give better predictions
- Shorter *n*-grams would be easier/faster to train and use
- Common: n = 2 or n = 3
  - Trigrams are surprisingly good at predicting the next word!

- Where do we actually get these probabilities from?
  - Corpora.



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  - Convert them into probabilities, maybe apply mathematical transformations



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- Training
  - Count frequences of features from data
  - Convert them into probabilities, maybe apply mathematical transformations
- Definition of conditional probabilities:

$$p(w_n|\langle w_1,\ldots,w_{n-1}\rangle) = \frac{p(\langle w_1,\ldots,w_n\rangle)}{p(\langle w_1,\ldots,w_{n-1}\rangle)}$$

# Maximum Likelihood Estimation (MLE)

- Parameters that maximize probability on the training corpus
- I.e., use the relative frequency from the training corpus as probability

$$p(\langle w_1, \ldots, w_n \rangle) = \frac{c(\langle w_1, \ldots, w_n \rangle)}{N}$$

# Maximum Likelihood Estimation (MLE)

- Parameters that maximize probability on the training corpus
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$$p(\langle w_1, \dots, w_n \rangle) = \frac{c(\langle w_1, \dots, w_n \rangle)}{N}$$
$$p(w_n | \langle w_1, \dots, w_{n-1} \rangle) = \frac{p(\langle w_1, \dots, w_n \rangle)}{p(\langle w_1, \dots, w_{n-1} \rangle)}$$

# demo

# Maximum Likelihood Estimation (MLE) Example

History	$w_n$	Count
Bier und	Wein	4
Bier und	Schnaps	3
Bier und	Bratwürsten	1
Bier und	Männerschweiß	1
Bier und	nichtalkoholischen	1
		1
Bier	und	29

# Maximum Likelihood Estimation (MLE) Example

History	$w_n$	Count	p(Bie	
Bier und	Wein	4	n(Wein Ri	
Bier und	Schnaps	3	$p(\mathbf{Wein} \mathbf{D})$	
Bier und	Bratwürsten	1		
Bier und	Männerschweiß	1		
Bier und	nichtalkoholischen	1		
		1		
Bier	und	29		

(Bier und) = 
$$\frac{22}{1880232}$$
  
(Bier und) =  $\frac{p(\text{Bier und Wein})}{p(\text{Bier und})}$   
=  $\frac{\frac{4}{1880232}}{\frac{22}{1880232}}$   
=  $\frac{4}{1880232} \times \frac{1880232}{22}$   
=  $\frac{4}{22} = 0.1818$ 

# Application

- Training corpus used for estimating probability
- Test/application corpus used for using probability
- Never use the same corpus for training and testing

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- Training corpus used for estimating probability
- Test/application corpus used for using probability
- Never use the same corpus for training and testing
- After having trained, we can check how probable a new document/corpus is (= test/application)

### Example

### $p(\mathsf{Ich trinke gerne Bier und Wein}) \ = \ p(\mathsf{Ich}|\mathsf{SYM SYM}) \times p(\mathsf{trinke}|\mathsf{Ich SYM})$

- $\times \quad p(\texttt{gerne}|\texttt{Ich trinke}) \times p(\texttt{Bier}|\texttt{trinke gerne})$
- $\times p(und|gerne Bier) \times p(Wein|Bier und)$

### Maximum Likelihood Estimation (MLE) Drawbacks

What happens with words not in the training corpus? Zero probability

- 'out of vocabulary' (OOV)
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- What happens with words not in the training corpus? Zero probability
  - 'out of vocabulary' (OOV)
- Because of multiplication, everything will be zero
- There will be OOV words because Zipf
- MLE conceptually important, but rarely used in NLP
- $\Rightarrow$  We need another estimator for the probability

### Lidstone's Law

- ► Core problem: All probability mass is used on words in vocabulary
- Nothing left for OOV words in test/application
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$$p(\langle w_1, \dots w_n 
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$$p(\langle w_1, \dots, w_n \rangle) = \frac{c(\langle w_1, \dots, w_n \rangle) + \lambda}{N + B\lambda}$$

- ▶ *B*: Number of different *n*-grams (i.e., *n*-gram types)
- >  $\lambda$ : Parameter set to control how much mass remains for OOV words
  - Typical setting:  $\lambda = \frac{1}{2}$  (for reasons see MS99, 204)

### Smoothing

Lidstone's law is a 'smoothing' technique

Goal

- Prevent zero probabilities
- Reserve some amount of probability mass for OOV words

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Lidstone's law is a 'smoothing' technique

Goal

- Prevent zero probabilities
- Reserve some amount of probability mass for OOV words
- Different strategies
  - Often need for fine-tuning (e.g., what value to we use for  $\lambda$ ?)

### Neural Language Models

- Based on neural networks
- Efficient matrix handling
- Bidirectional
- Use of sub words
- Attention: Not all contextual words are equally important



- Language modeling
  - Given some history, predict the next word
  - Use cases: Smart phone, ...
  - Maximum Likelihood estimation: Easy, but problematic
  - Lidstone's Law: Smoothing
    - Other smoothing techniques exist
- Different data sets for different purposes
  - Cross validation