

Recap: Machine Learning

Naive Bayes

- ▶ Probabilistic method for classification
- ▶ Naive because we ignore feature dependencies
- ▶ Prediction model:

$$\arg \max_{c \in C} p(c | f_1, f_2, \dots, f_n)$$

- ▶ Training: Count relative frequencies

Logistic Regression

- ▶ Regression method for binary classification
- ▶ Output numbers as probabilities
- ▶ Prediction model:

$$\frac{1}{1 + e^{-(ax+b)}}$$

- ▶ Training: Gradient descent with loss function

Neural Network

- ▶ Layered architecture
- ▶ Classification type depends on last layer
- ▶ Output numbers as probabilities
- ▶ Prediction model:

$$L_n(L_{n-1}(L \dots (L_1(X))))$$

- ▶ Training: Backpropagation w/ loss function

Last Week

```
1 import numpy as np
2 from tensorflow import keras
3 from tensorflow.keras import layers
4 from sklearn.preprocessing import LabelBinarizer
5
6 # create a random data set with 500 pairs
7 # of random numbers
8 x_train = np.random.randn(100,5)
9
10 # Target value: What's the maximum of five numbers?
11 # (0.1, 0.2, -0.2, 0.5, -3)
12 # -> (4)
13 y_train = np.array([(np.argmax(x)) for x in x_train])
14
15 # one-hot-encoding of target values
16 lb = LabelBinarizer()
17 y_train = lb.fit_transform(y_train)
18
19 # setup the model architecture
20 model = keras.Sequential()
21 model.add(layers.Input(shape=(5,)))
22 model.add(layers.Dense(20, activation="sigmoid"))
23 model.add(layers.Dense(5, activation="softmax"))
```

```
24
25 # compile it
26 model.compile(loss="categorical_crossentropy",
27               optimizer="sgd",
28               metrics=["accuracy"])
29
30 # train it
31 model.fit(x_train, y_train, epochs=20, batch_size=1)
32
33 # create a test data set
34 x_test = np.random.randn(100,5)
35 y_test = np.array([np.argmax(x) for x in x_test])
36 model.evaluate(x=x_test, y=lb.fit_transform(y_test))
```

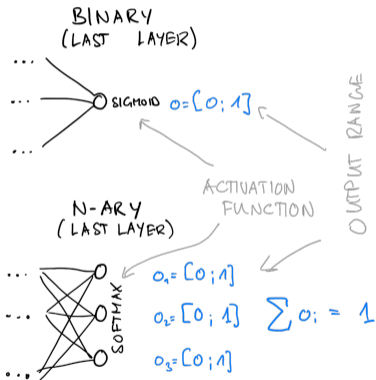
➔ Task: Given five numbers, give us the index of the highest (5-ary classification task)

⚙️ 20 epochs, stochastic gradient descent, categorical cross entropy

🚩 77% Accuracy

Binary and N-Ary Classification / One-Hot-Encoding

CLASSIFICATION



ONE-HOT-ENCODING

- VECTOR TO REPRESENT OUTPUT NUMBER

EXAMPLE

- TRAINING INSTANCE

CATEGORY 2 (OF 3):

$$\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

- TEST OUTPUT

CATEGORY 2:

$$\begin{bmatrix} 0.1 \\ 0.7 \\ 0.2 \end{bmatrix}$$

- LOSS CALCULATED BETWEEN

$$\begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \text{ AND } \begin{bmatrix} 0.1 \\ 0.7 \\ 0.2 \end{bmatrix}$$

Hausaufgabe 3

Trainieren Sie ein logistisches Regressionsmodell, um handgeschriebene Ziffern zu erkennen. Die Ziffern wurden handgeschrieben, schwarz/weiß eingescannt und die Bilder dann als 28x28-Matrizen mit Graustufeninformationen bereitgestellt. Es handelt sich nur um Nullen und Einsen, und ist damit eine binäre Klassifikationsaufgabe. Sie finden die Trainings- und Testdaten hier, und hier ein Python-Skript, mit einer Funktion zum Einlesen der Daten. Verwenden Sie die Bibliothek scikit-learn für das eigentliche Training (und schauen Sie sich ruhig ein bisschen um, was die Bibliothek sonst so bereithält).

- ▶ Wie hat's geklappt?
- ▶ Was kam raus?
- ▶ Gab es Schwierigkeiten oder Überraschungen?
- ➔ Hausaufgabe 4: Ziffernerkennung mit neuronalem Netz und einigen selbstgeschriebenen Ziffern



UNIVERSITÄT
ZU KÖLN

Machine Learning: How to use Neural Networks with Words

Word Embeddings

VL Sprachliche Informationsverarbeitung

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Introduction

A very simple text example

- ▶ Task: Given a sentence (with four words), predict whether the sentence is positive or negative
 - ▶ E.g., a comment about a book or movie

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- ▶ Task: Given a sentence (with four words), predict whether the sentence is positive or negative
 - ▶ E.g., a comment about a book or movie
- ▶ Operationalization
 - ▶ Binary classification task
 - ▶ Four input features, one for each word
 - ▶ Each word gets an index number, which will be the input of the network

demo

s10-example-01.py

Lessons Learned

- ▶ Representing words by index numbers alone is not satisfactory
- ▶ {'awesome': 4, 'is': 5, 'terrible': 6, 'bad': 7, 'super': 8}
 - ▶ 'Terrible' and 'bad' are semantically much closer than 'terrible' and 'awesome', but this is not represented
 - ▶ Replacing 'bad' with 'terrible' or 'super' is both a change of 1 index position, but has very different meaning

What is Semantics at all?

*Man kann für eine **große** Klasse von Fällen der Benützung des Wortes **Bedeutung** – wenn auch nicht für **alle** Fälle seiner Benützung – dieses Wort so erklären: **Die Bedeutung eines Wortes ist sein Gebrauch in der Sprache.** (Wittgenstein, 1953)*

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You shall know a word by the company it keeps (Firth, 1957, 11)

Distributional Semantics

Count vectors

- ▶ For each word, we count how often it appears with all other words (within a window of n tokens)
- ▶ Results in very long vectors, because all other words
- ▶ Many words do not appear with many other words, because of Zipf
 - ▶ Many elements are zero

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Variants of count vectors

- ▶ TF-IDF instead of raw counts
- ▶ Mathematical dimensionality reduction

Count Vectors in Our Example

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Count Vectors in Our Example

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- ▶ Recipe
 - ▶ Take a large corpus
 - ▶ Extract count vectors
 - ▶ Insert vectors into our training set

Section 2

Word2Vec

Literature basis

- ▶ Two very influential papers by Mikolov et al.
 - ▶ Tomáš Mikolov/Kai Chen/Greg Corrado/Jeffrey Dean (2013). “Efficient Estimation of Word Representations in Vector Space”. In: *arXiv cs.CL*. URL: <https://arxiv.org/pdf/1301.3781.pdf>
 - ▶ Tomáš Mikolov/Ilya Sutskever/Kai Chen/Greg S Corrado/Jeff Dean (2013). “Distributed Representations of Words and Phrases and their Compositionality”. In: *Advances in Neural Information Processing Systems 26 (NIPS 2013)*. Ed. by C. J. C. Burges/L. Bottou/M. Welling/Z. Ghahramani/K. Q. Weinberger. Curran Associates, Inc., pp. 3111–3119. URL: <http://papers.nips.cc/paper/5021-distributed-representations-of-words-and-phrases-and-their-compositionality.pdf>
- ▶ Software package
 - ▶ word2vec – <https://github.com/tmikolov/word2vec>
Originally published on “Google Code”

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 - ▶ Algorithm to fit parameters to a distribution of data points
 - ▶ Core ingredient: Loss function
 - ▶ Result: Parameter setting θ

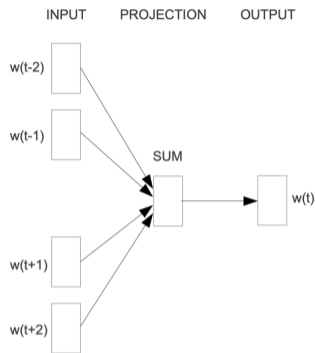
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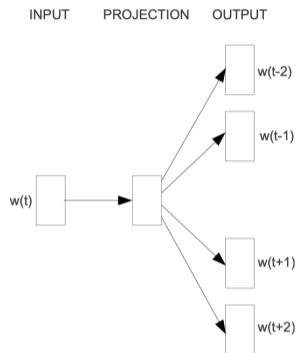
Word vectors as a by product

- ▶ Recap: Logistic/linear regression and gradient descent
 - ▶ Algorithm to fit parameters to a distribution of data points
 - ▶ Core ingredient: Loss function
 - ▶ Result: Parameter setting θ
- ▶ Word2vec
 - ▶ Let's use these parameters as word vectors
 - ▶ (one parameter vector per word)
 - ▶ How to come up with a task that generates these parameters?

Two tasks



CBOW



Skip-gram

Continuous Bag of Words (CBOW)

Context words used to predict one word

Skip-Gram

One word used to predict its context

Skip-gram

- ▶ Context: ± 2 words around target word t

... dogs, such as a German Shepherd or a Labrador, ...

c1 c2 t c3 c4

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- ▶ Vector similarity \rightarrow probability

- ▶ Similarity of vectors? Dot product \downarrow
- ▶ Cosine similarity \rightarrow probability? Logistic function \checkmark
- ▶ “a word is likely to occur near the target if its embedding is similar to the target embedding”

Jurafsky/Martin (2023, 18 f.)

When are vectors similar?

- ▶ Operation that takes two vectors and returns a similarity score
- ▶ Linear algebra: dot product
 - ▶ A.k.a. scalar product, inner product, Skalarprodukt, Punktprodukt, inneres Produkt

$$\begin{aligned}\vec{a} \cdot \vec{b} &= |\vec{a}| |\vec{b}| \cos \angle(\vec{a}, \vec{b}) \\ &= \sum_{i=1}^N a_i b_i\end{aligned}$$

Skip-gram

Notation t, c : words \vec{t}, \vec{c} : vectors for the words

$$p(+|t, c) = \frac{1}{1 + e^{-\vec{t} \cdot \vec{c}}} = \sigma(\vec{t} \cdot \vec{c})$$

$$p(-|t, c) = 1 - \frac{1}{1 + e^{-\vec{t} \cdot \vec{c}}} = \frac{e^{-\vec{t} \cdot \vec{c}}}{1 + e^{-\vec{t} \cdot \vec{c}}} = 1 - \sigma(\vec{t} \cdot \vec{c})$$

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$$p(+|t, c_{1:k}) = \prod_{i=1}^k \frac{1}{1 + e^{-\vec{t} \cdot \vec{c}_i}}$$

$$\log p(+|t, c_{1:k}) = \sum_{i=1}^k \log \frac{1}{1 + e^{-\vec{t} \cdot \vec{c}_i}}$$

Skip-gram

- ▶ So far, we have assumed that we have vector \vec{t} for word t , but where do they come from?
- ▶ Basic gradient descent: We start randomly, and iteratively improve

Skip-gram

Negative sampling

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 - ▶ Training a classifier needs negative examples, i.e., words that are not in the context of each other

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 - ▶ For every positive tuple (t, c) , we add k negative tuples
 - ▶ Negative tuple (t, c_n) , with c_n randomly selected (and $t \neq c_n$)
- ▶ New 'parameter' k on this slide
 - ▶ Different status than θ (the parameters we want to learn)
 - ▶ Therefore: Hyperparameters

Word2Vec

Loss

- ▶ We also need a loss function
- ▶ Idea:
 - ▶ Maximize
 - ▶ $p(+|t, c)$ (positive samples), and
 - ▶ $p(-|t, c_n)$ (negative samples)

Word2Vec

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$$L(\theta) = \sum_{(t,c)} \log p(+|t, c) + \sum_{(t,c_n)} \log p(-|t, c_n)$$

Word2Vec

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$$L(\theta) = \sum_{(t,c)} \log p(+|t, c) + \sum_{(t,c_n)} \log p(-|t, c_n)$$

θ : Concatenation of all \vec{t} , \vec{c} , \vec{c}_n

Remarks and observations

- ▶ Each word is used twice, with different roles
 - ▶ As target word (for predicting its context)
 - ▶ As context word (to be predicted from another target word)
 - ▶ Different options: Only use one embedding, combine them by addition or concatenation

Section 3

Embeddings and Neural Networks

Two Options

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 - ▶ Download pre-trained embeddings (e.g., via word2vec)
 - ▶ Replace them during preprocessing
 - ▶ Benefit from large training set
- ▶ Option 2
 - ▶ Train your own embeddings in your neural network
 - ▶ In the end, it's just more parameters to learn, and we know how to do that
 - ▶ Keras: `keras.layers.Embedding`

demo

s10-example-02.py, s10-example-03.py

Section 4

Summary

Summary

Represent text data in neural networks

- ▶ Map words to indices
- ▶ Embeddings
 - ▶ Way to represent input data
 - ▶ Word2Vec: Concrete method to calculate/train embeddings
 - ▶ Well suited as input for neural networks
 - ▶ Pre-trained embeddings
 - ▶ Easy to use
 - ▶ Trained on very large corpora
 - ▶ Allow to incorporate some kind of knowledge into our own models that we don't have to annotate