Recap: Overfitting and Recurrent Neural Networks

Overfitting

- The model did not generalize well
- Not purely technical problem
- DL answers: regularization, dropout
- Recurrent Neural Networks
 - Basic neural networks: Classify one item at a time
 - RNN
 - Additional connection along the sequence
 - Information can be passed from one sequence element to the next
 - One dimension more, because training instance is a sequence



Machine Learning: Transformer Models, BERT, The Future? VL Sprachliche Informationsverarbeitung

Nils Reiter nils.reiter@uni-koeln.de

> January 18, 2024 Winter term 2023/24



- (Recurrent) neural networks provide building blocks
- Powerful machine learning, usable for many different tasks
- RNN/Bi-LSTM have taken over NLP landscape 2015–2018

- (Recurrent) neural networks provide building blocks
- Powerful machine learning, usable for many different tasks
- RNN/Bi-LSTM have taken over NLP landscape 2015–2018

Current State of the Art: Transformer architecture

- Attention layer
- New training paradigm(s)

Sutskever et al. (2014)

Vaswani et al. (2017)

Section 1

Encoder-Decoder-Networks



Figure: Neural network with a recurrent layer

- Each X value leads to a Y value
- Network has no way to skip a sequence element
- Many real world sequence labeling tasks are *n*-to-*m*-tasks
 - n elements in one sequence are associated with m element in the other

Encoder-Decoder-Architecture

Network has two parts:

- Encoder maps from input data to an internal representation
- Internal representation optionally processed by a regular dense layer
- Decoder maps from internal representation to the output

Internal representation

- Use the output of last recurrent neuron
 - Or internal state of last recurrent cell
- Some vector, not interpretable

Encoder-Decoder-Architecture



Encoder-Decoder-Architecture



Encoder-Decoder-Architecture in Keras

Encoder

- Regular input layer
- Recurrent layer with return_sequences=False
 - Because we don't want a sequence as output, but just the output of the last cell
- Decoder
 - Every output sequence element gets the internal representation as input
 - Thus, it needs to be repeated with the RepeatVector() layer
 - This is just copying the vector
 - Recurrent layer with return_sequences=True
 - Because now, we want the sequence
 - Output layer as before
 - With one-hot-encoding for multi-class problems

Encoder-Decoder-Architecture in Keras

Listing 1: The Code

```
1 model = models.Sequential()
2 # Encoder
3 model.add(layers.Input(shape=(INPUT_LENGTH,)))
4 model.add(layers.Embedding(input_dim=number_of_symbols, output_dim=64,))
5 model.add(layers.LSTM(64, return_sequences=False))
6
7 # Copy the internal representation (optional)
8 model.add(layers.RepeatVector(OUTPUT_LENGTH))
9
10 # Decoder
11 model.add(layers.LSTM(32, return_sequences=True))
12 model.add(layers.Dense(number_of_symbols*2, activation='softmax'))
```

Section 2

Attention

Attention



A woman is throwing a <u>frisbee</u> in a park.

A dog is standing on a hardwood floor.

A <u>stop</u> sign is on a road with a mountain in the background.

Figure: Examples of attending to the correct object (Xu et al., 2015)



Attention

Figure: Attention paid by a neural machine translation network (Bahdanau et al., 2015)

- A mechanism to allow the network to learn what to focus on
- Idea: Not all parts of the input are equally important
 - ► MT: "la zone économique européenne" → "the European Economic Area", irrespective of context

- A mechanism to allow the network to learn what to focus on
- Idea: Not all parts of the input are equally important
 - ► MT: "la zone économique européenne" → "the European Economic Area", irrespective of context
- Mirrows human reading/translating activities
- Developed for machine translation, then applied to other tasks

Attention

From Encoder-Decoder to Attention



Input sequence

VL Sprachliche Informationsverarbeitung

Attention

From Encoder-Decoder to Attention



VL Sprachliche Informationsverarbeitung

Section 3

Transformer Architecture

- ▶ BERT is the first succesful model that implements the transformer architecture
- BERT has outperformed the state of the art in many NLP tasks
- Breakthrough in NLP

- BERT is the first succesful model that implements the transformer architecture
- BERT has outperformed the state of the art in many NLP tasks
- Breakthrough in NLP
- General idea

Devlin et al. (2019)

- Encoder-Attention-Decoder architecture (= transformer)
- ▶ Process whole input at once, no sequence labeling! (max. 512 tokens, = bidirectional)
- Pre-training and fine-tuning on different tasks

Pre-Training and Fine-Tuning

- BERT models are trained on large data sets
- Training one from scratch requires significant resources (time/money)
- Pre-trained models are shared freely
- Recipe: Take a pre-trained model and fine-tune it on your task
 - Pre-trained model contains an abstract language representation

Pre-Training and Fine-Tuning

- BERT models are trained on large data sets
- Training one from scratch requires significant resources (time/money)
- Pre-trained models are shared freely
- Recipe: Take a pre-trained model and fine-tune it on your task
 - Pre-trained model contains an abstract language representation
- Fine-tuning
 - Any language-related task!

BERT Training Tasks

Masked Language Modeling (MLM)

- Sentence-wise
- ▶ 15% of the tokens are "masked" by a special token
- Model predicts these, having access to all other tokens

BERT Training Tasks

Masked Language Modeling (MLM)

- Sentence-wise
- ▶ 15% of the tokens are "masked" by a special token
- Model predicts these, having access to all other tokens

Next sentence prediction (NSP)

- Two (masked) sentences are concatenated
- Model has to predict wether second sentence follows on the first or not

Section 4

Practical Things and Future Trends



Hugging Face

An AI company that provides

- A Python library for transformer models
 - Since 2.0 compatible with tensorflow/keras and PyTorch
- A platform to share BERT models (e.g., for different languages) and/or data sets
- Some paid services

An AI company that provides

- A Python library for transformer models
 - Since 2.0 compatible with tensorflow/keras and PyTorch
- A platform to share BERT models (e.g., for different languages) and/or data sets
- Some paid services

Installation

1 pip install transformers

Code

```
1 import tensorflow as tf
2 from transformers import TFAutoModelForSequenceClassification
3
4 # Load model as keras model
5 model = TFAutoModelForSequenceClassification
6 .from_pretrained("bert-base-cased", num_labels=2)
7
8 # do the usual keras stuff
9 model.compile(...)
10
11 # fine-tuning
12 model.fit(...)
```

https://huggingface.co/transformers/training.html



- Extracting contextual embeddings
 - s12-get-bert-features.py

Extracting contextual embeddings

- s12-get-bert-features.py
- Predicting the next token / filling in blanks
 - s12-unmasker.py

- Extracting contextual embeddings
 - s12-get-bert-features.py
- Predicting the next token / filling in blanks
 - s12-unmasker.py
- Fine-Tuning to a specific task (using annotated data)
 - s12-fine-tune-text-classification.py

- Extracting contextual embeddings
 - s12-get-bert-features.py
- Predicting the next token / filling in blanks
 - s12-unmasker.py
- Fine-Tuning to a specific task (using annotated data)
 - s12-fine-tune-text-classification.py
- Zero-Shot classification (Classify without fine-tuning!)
 - s12-zero-shot-classification.py

- Extracting contextual embeddings
 - s12-get-bert-features.py
- Predicting the next token / filling in blanks

s12-unmasker.py

- Fine-Tuning to a specific task (using annotated data)
 - s12-fine-tune-text-classification.py
- Zero-Shot classification (Classify without fine-tuning!)
 - s12-zero-shot-classification.py
- Few-Shot classification (= "in-context-learning")
 - ► The new paradigm?

Brown et al. (2020)

The Future

LLMs will not go away, are expensive (money/power/maintenance) and powerful

The Future

- LLMs will not go away, are expensive (money/power/maintenance) and powerful
- Open tasks
 - Proper/rigorous evaluation
 - Humans are good at over-interpreting model output
 - Control: Effectively preventing LLMs from generating bullshit or toxic language
 - Future LLMs: How to gather untainted training data

The Future

- LLMs will not go away, are expensive (money/power/maintenance) and powerful
- Open tasks
 - Proper/rigorous evaluation
 - Humans are good at over-interpreting model output
 - Control: Effectively preventing LLMs from generating bullshit or toxic language
 - Future LLMs: How to gather untainted training data
- ▶ How to (properly) use LLMs in scientific areas is still somewhat unclear
 - It's a difference wether a model generates language (with all its ambiguity) or category assignments

Section 5

Summary

Summary

Motivation: Sequence to sequence tasks (like machine translation)
 Encoder-Decoder architecture

- Encoder reads in the input, generates internal representation
- Decoder produces output, consuming internal representation Attention
 - > Developed for image classification, then transfered to machine translation
 - ▶ Let the model learn the relevant input tokens for each output token

Transformer architecture

- Breakthrough in natural language processing
- Pre-training vs. fine-tuning
- Huggingface: Platform to make such models easy to use
 - Good documentation on transformers:

28/28